



Muhlenkamp Fund

Mail To:
Muhlenkamp Fund
c/o U.S. Bank Global Fund Services
P.O. Box 701
Milwaukee, WI 53201-0701

IRA Transfer Form

Overnight Express Mail To:
Muhlenkamp Fund
c/o U.S. Bank Global Fund Services
615 E. Michigan St., FL3
Milwaukee, WI 53202-5207

Use this form when transferring funds from an existing IRA to a Muhlenkamp Fund IRA. If you are establishing a new account with the Muhlenkamp Fund, a Muhlenkamp Fund IRA Application must accompany this form.

If you have any questions please call (800)860-3863 and press "0".

- There may be penalties for withdrawing certain investments before their maturity (i.e., certificates of deposits or annuities). Please contact your current custodian or plan administrator prior to submitting this form to determine the applicable time frames and penalties, if any, or if you need a signature guarantee in Section 6 to order this transfer. U.S. Bank Global Fund Services will initiate your request upon receipt of this form.

1. Investor Information

Enter the investor identity information as requested.

ACCOUNT OWNER'S FIRST NAME M.I. LAST NAME
PERMANENT STREET ADDRESS APT/SUITE
CITY STATE ZIP CODE
SOCIAL SECURITY NUMBER DATE OF BIRTH (mm/dd/yyyy)
HOME PHONE NUMBER BUSINESS PHONE NUMBER

2. Instructions to Current IRA Custodian or Plan Administrator

Complete the following information for the account from which you are transferring assets.

Please also include a copy of your current account statement.

NAME OF CURRENT CUSTODIAN, TRUSTEE, OR PLAN ADMINISTRATOR
STREET ADDRESS OR P.O. BOX SUITE
CITY STATE ZIP CODE
PHONE NUMBER NAME OF CONTACT PERSON (IF AVAILABLE)
ACCOUNT NUMBER
CURRENT REGISTRATION OF ACCOUNT (AS PRINTED ON ACCOUNT STATEMENT)

Consider this your authorization to redeem my investment and transfer my Traditional IRA, SEP IRA, SIMPLE IRA, Roth IRA, or Inherited IRA, or to directly rollover my qualified retirement plan as directed below: \*

All Assets OR \$ ENTER DOLLAR AMOUNT or ENTER PERCENTAGE %

Please process this request:\*

Immediately OR At Maturity ENTER MATURITY DATE (mm/dd/yyyy)

\* If no option is selected, please transfer all assets immediately.

Instructions for Delivery: (Indicate how you want your current Trustee/Custodian to deliver the assets to U.S. Bank Global Fund Services)

Wire - Funds available immediately upon receipt, your Custodian/Trustee may charge a fee for this service.
Check - Funds may not be available for 12-15 business days.
First Class Mail
Overnight Delivery - take the fee from my account.
Overnight Delivery via Third Party - charge the fee to my FedEx or UPS account provided below.
FedEx or UPS Account FEDEX OR UPS ACCOUNT/BILLING NUMBER

Processing Instruction: (Indicate how you want us to initiate your transfer/rollover)

Standard Processing Service - No Charge, transfer form will be sent via First Class Mail
Overnight Delivery - \$15.00 fee, select one of the options below; if no selection is made we will use First Class Mail
We will overnight your transfer form to your current Custodian/Trustee.
Physical address must be provided, cannot overnight to a P.O. Box.
Use the attached check made payable to U.S. Bank Global Fund Services.
Charge the \$15.00 fee to my third party billing provided below.
FedEx or UPS Account FEDEX OR UPS ACCOUNT/BILLING NUMBER

**2. Instructions to Current IRA Custodian or Plan Administrator**  
*(Continued)*

Type of account being transferred/rolled-over:

- Traditional IRA     Pension     SEP IRA     SIMPLE IRA     Roth IRA     Profit Sharing Plan
- Inherited IRA     401(k)     403(b)     Roth 401(k)     Roth 403(b)     Other \_\_\_\_\_

Original ROTH IRA funding year (if applicable): \_\_\_\_\_  
(yyyy)

Original SIMPLE IRA funding date (if applicable): \_\_\_\_\_  
(yyyy)

Send the check representing the assets payable to "Muhlenkamp Fund FBO *shareholder's name*" along with a copy of this form to the address indicated on the upper corner of page one.

**3. Investment Selection**

*Check ONE of the following.*

Transfer assets to:

A New Muhlenkamp Fund IRA - This IRA Transfer Form must be accompanied by a completed Muhlenkamp Fund IRA Application.

OR

An Existing Muhlenkamp Fund IRA - \_\_\_\_\_  
EXISTING MUHLENKAMP FUND IRA ACCOUNT NUMBER

**4. Age 70 1/2 Information**

*Check ONE of the following.*

I am under the age of 70 1/2 and do not turn 70 1/2 at anytime during this calendar year.

OR

I am age 70 1/2 or older or will become age 70 1/2 during this calendar year and understand that no part of my required minimum distribution (RMD) is eligible for transfer or rollover. I further understand that there may be significant tax penalties if a transfer or rollover of my required distribution occurs.

**5. Conversion of Traditional IRA to Roth IRA - Optional**

I am converting assets from a Traditional IRA to a Roth IRA. Upon receiving the assets from my current Custodian, I instruct the Fund's transfer agent to invest the proceeds into a new or existing Roth IRA account, as indicated in Section 2. I understand this may be a taxable event. By signing below I agree that I am solely responsible for all tax consequences of this conversion.

\_\_\_\_\_  
SIGNATURE\* OF ACCOUNT OWNER

\_\_\_\_\_  
DATE (mm/dd/yyyy)

\* The Fund's Transfer Agent cannot process the conversion without a signature above.

**6. Signature and Certification**

*Please contact your current Custodian to determine if a signature guarantee is required.*

*If required, do not sign until you are in the presence of an officer authorized to guarantee signatures.*

I certify that I have established an IRA with the Muhlenkamp Fund, of which U.S. Bank, NA, is the Custodian. I agree to contact my present Custodian from whom I am transferring to determine if specific documentation or a signature guarantee is required. I understand that I am responsible for determining my eligibility for all transfers or direct rollovers. I agree to hold the Custodian harmless against any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that the Custodian or its agent cannot provide legal advice and I agree to consult with my own tax professional for advice.

I authorize U.S. Bank Global Fund Services to act on my behalf in contacting the current custodian or plan administrator to facilitate the transfer of assets.

\_\_\_\_\_  
SIGNATURE OF ACCOUNT OWNER

\_\_\_\_\_  
DATE (mm/dd/yyyy)

\_\_\_\_\_  
AUTHORIZED SIGNATURE GUARANTEE STAMP\*

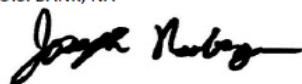
\_\_\_\_\_  
DATE (mm/dd/yyyy)

\*Signature Guarantee - A signature guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, savings associations, credit unions, and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near your signature. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. Please note that a Notary Public Seal or Stamp is not acceptable.

**7. Acceptance / Custodian Authorization**

U.S. Bank, N.A. hereby accepts its appointment as Custodian of the above IRA account and upon receipt of assets, will deposit such assets in a Muhlenkamp Fund IRA on behalf of the Depositor authorizing this transfer or direct rollover.

Appointment as Custodian accepted  
U.S. BANK, NA



Joseph Neuberger